



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of:

Serial No. : 09/741,958

Filed: December 20, 2000

For: **PRICE OPTIMIZATION SYSTEM**

Art Unit: 2161

Examiner: Unknown

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EK797900848US

Box: Patent Application
Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

July 30, 2001

Dear Sirs:

Certificate of Mail by Express Mail
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EXPRESS MAIL LABEL NO.: EK797900848USDate of Deposit: July 30, 2001

I hereby certify that this paper, and the enclosed Information Disclosure Statement form -- list of documents and six (6) cited documents, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

INFORMATION DISCLOSURE STATEMENT FILEDUNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. "Report of Novelty Search" by Patentec, dated July 25, 2001.
2. U.S. Patent Number 6,205,431 to T. Willemain et al. entitled "SYSTEM AND METHOD FOR FORECASTING INTERMITTENT DEMAND."

3. U.S. Patent Number 5,459,656 to R. Fields et al. entitled "BUSINESS METHOD PROJECTION SYSTEM AND METHOD."
4. U.S. Patent Number 5,299,115 to R. Fields et al. entitled "PRODUCT DEMAND SYSTEM AND METHOD."
5. U.S. Patent Number 5,799,286 to J. Morgan et al. entitled "AUTOMATED ACTIVITY-BASED MANAGEMENT SYSTEM."
6. U.S. Patent Number 5,732,401 to D. Conway entitled "ACTIVITY BASED COST TRACKING SYSTEMS."

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted before the mailing date of a first office action of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,



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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
DEM1P001SERIAL NO.
09/741,958LIST OF DOCUMENTS CITED BY APPLICANT
TO COMPLY WITH 37 C.F.R. 1.56

APPLICANT

Neal et al.

FILING DATE
12/20/00GROUP
2161

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL* | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|----------|------------------|-------|----------|-------------------------------|
| | AA | 6,205,431 | 03/20/01 | Willemain et al. | 705 | 10 | |
| | AB | 5,459,656 | 10/17/95 | Fields et al. | 364 | 401 | |
| | AC | 5,299,115 | 03/29/94 | Fields et al. | 364 | 401 | |
| | AD | 5,799,286 | 08/25/98 | Morgan et al. | 705 | 30 | |
| | AE | 5,732,401 | 03/24/98 | Conway | 705 | 29 | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|--|--------------------|------|---------|-------|----------|-------------|----|
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|--|---|
| | AI | | "Report of Novelty Search" by Patentec, dated July 25, 2001 |
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.